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	Filing Date	Nov. 17, 1999	
	First Named Inventor	DAVID F. Smith	
	Group Art Unit	2874	
	Examiner Name		
Total Number of Pages in This Submission	3	Attorney Docket Number	981117DS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David F. Smith, et al.

For: WAVELENGTH DIVISION MULTIPLEXED OPTICAL TRANSMISSION
SYSTEMS, APPARATUSES, AND METHODS #3

Serial No. : 09/441,805 :Docket No. 981117DS
Filing Date : Nov. 17, 1999 :
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Sir:

Applicant, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying form PTO 1449 "Information Disclosure Statement by Applicant". A copy of the references cited herein is included. No fee is submitted herewith because this Statement is being filed before the mailing of the first Office Action.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b)."

Respectfully submitted,



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May 04, 2001